

02/15/02

jc759 U.S. PTO

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PTO/SB/05 (08-00)

Please type a plus sign (+) inside this box → ☐Approved for use through 10/31/2002. OMB 0651-0032  
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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. **47079-0127**First Inventor **Allon G. Englman**Title **GAMING MACHINE WITH BLOCK WAGERING**Express Mail Label No. **EK153520853US filed February 15, 2002****APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: **Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231**

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **27**]  
(preferred arrangement set forth below)
- Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D Invention
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets **14**]
5. ☒ Oath or Declaration [Total Pages **3**]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. ☐ Specification Sequence Listing on:
- i. ☐ CD ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statement verifying identity of above copies

**Accompanying Application Parts**

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure ☒ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other .....

**17. If a CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_/\_\_\_\_, filed  
Prior application Information: Examiner \_\_\_\_ Group/Art Unit: \_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18. CORRESPONDENCE ADDRESS**

☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☐ New correspondence address below

NAME	Michael J. Blankstein				
ADDRESS	WMS Gaming Inc.				
	800 South Northpoint Boulevard				
CITY	Waukegan	STATE	IL	ZIP CODE	60085
COUNTRY	USA	TELEPHONE	(773) 961-1480	FAX	(773) 961-1237
Name (Print/Type)	Michael J. Blankstein	Registration No. (Attorney/Agent)	37,097		
Signature	Michael Blankstein		Date	February 15, 2002	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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# FEE TRANSMITTAL

## for FY 2001

Patent fees are subject to annual revision

**Complete if Known**

<b>TOTAL AMOUNT OF PAYMENT</b> (\$) <b>1,176</b>		Application Number	
		Filing Date	<b>February 15, 2002</b>
		First Named Inventor	<b>Allon G. Engلمان</b>
		Examiner Name	
		Group Art Unit	
		Attorney Docket Number	<b>47079-0127</b>

**METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number **232409/(0127)**

Deposit Account Name **WMS Gaming Inc.**

☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status  
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	<u>740</u>
106	330	206	165	Design filing fee	—
107	510	207	255	Plant filing fee	—
108	740	208	370	Reissue filing fee	—
114	160	214	80	Provisional filing fee	—
<b>SUBTOTAL (1)</b>					<b>(\$)<u>740</u></b>

**2. EXTRA CLAIM FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>					<b>(\$)<u>396</u></b>

\*\* or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee
105	130	205	65	Surcharge - late filing fee or oath	—
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	—
139	130	139	130	Non-English specification	—
147	2,520	147	2,520	For filing a request for ex parte reexamination	—
112	920	112	920	Requesting publication of SIR prior to Examiner action	—
113	1,840	113	1,840	Requesting publication of SIR after Examiner action	—
115	110	215	55	Extension for reply within first month	—
116	400	216	200	Extension for reply within second month	—
117	920	217	460	Extension for reply within third month	—
118	1,440	218	720	Extension for reply within fourth month	—
128	1,960	228	980	Extension for reply within fifth month	—
119	320	219	160	Notice of Appeal	—
120	320	220	160	Filing a brief in support of an appeal	—
121	280	221	140	Request for oral hearing	—
138	1,510	138	1,510	Petition to institute a public use proceeding	—
140	110	240	55	Petition to revive - unavoidable	—
141	1,280	241	640	Petition to revive - unintentional	—
142	1,280	242	640	Utility issue fee (or reissue)	—
143	460	243	230	Design issue fee	—
144	620	244	310	Plant issue fee	—
122	130	122	130	Petitions to the Commissioner	—
123	50	123	50	Processing fee under 37 CFR 1.17(q)	—
126	180	126	180	Submission of Information Disclosure Statement	—
581	40	581	40	Recording each patent assignment per property (times number of properties)	<u>40</u>
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	—
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	—
179	740	279	370	Request for Continued Examination (RCE)	—
169	900	169	900	Request for expedited examination of a design application	—
Other fee (specify) _____					—
<b>SUBTOTAL (3)</b>					<b>(\$)<u>40</u></b>

\* Reduced by Basic Filing Fee Paid

**SUBMITTED BY**

Complete (if applicable)

Typed or Printed Name	<b>Michael J. Blankstein</b>	Registration No. (Attorney/Agent)	<b>37,097</b>	Telephone	<b>(773) 961-1480</b>
Signature	<i>Michael Blankstein</i>			Date	<b>February 15, 2002</b>